

PROCESS IMPROVEMENT WORKSHOPS

2/18 – REDDING


2/25 – SACRAMENTO – WEBCAST

2/27 – IRVINE





PURPOSE

- PROGRAM UPDATE
 - EARLY INPUT TO ROUND 3
 - IMPROVE IRWM GRANT PROGRAM DELIVERY
 - IDENTIFY KNOWN AND ANTICIPATED CHANGES
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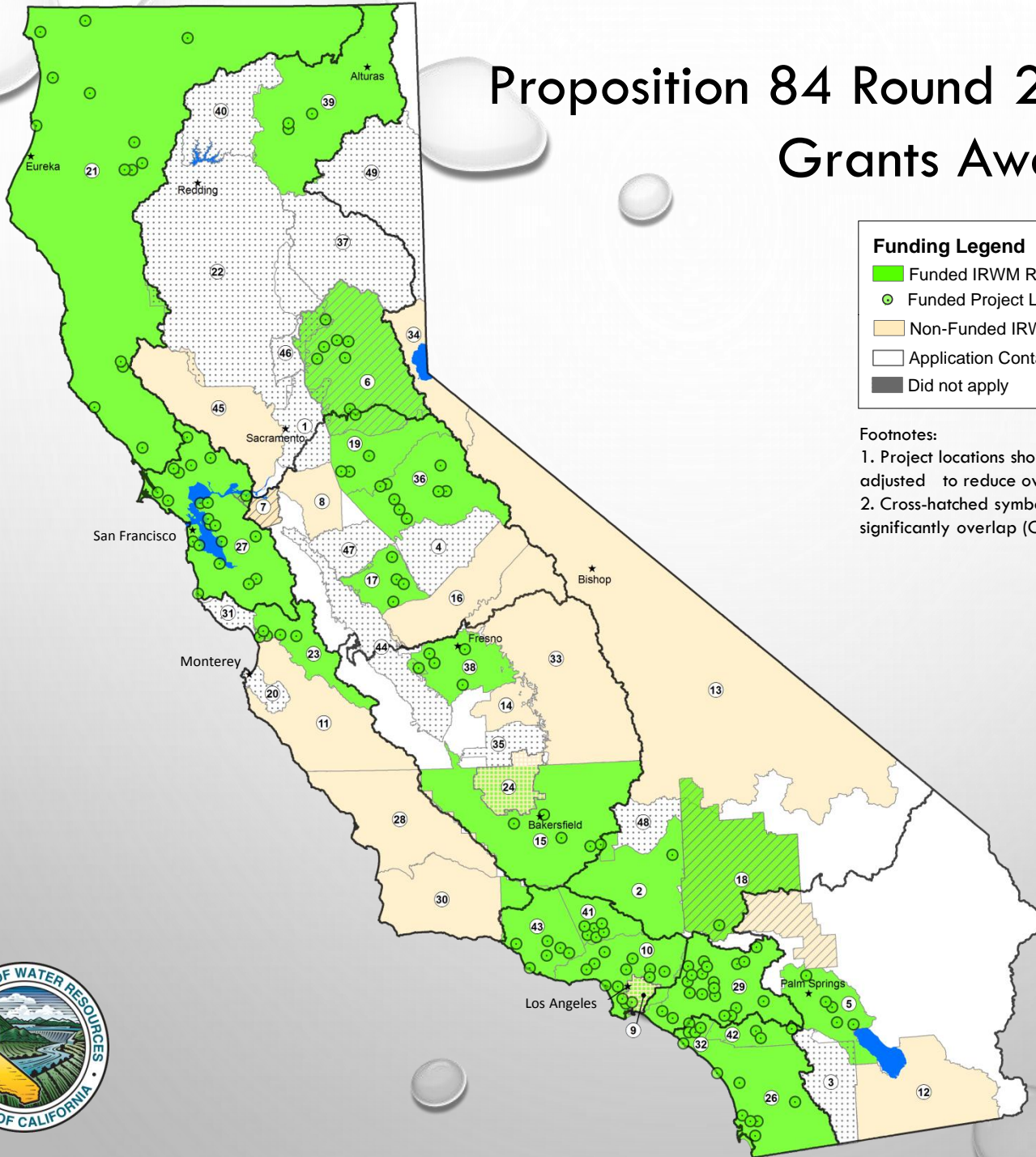
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PROGRAM UPDATE

STATUS OF ROUND 2 AWARDS

- DIRECTOR APPROVED AWARDS FEBRUARY 4
 - AWARDED \$131 MILLION IN EXISTING APPROPRIATIONS
 - CONDITIONALLY AWARDED \$21.8 MILLION
 - FUTURE APPROPRIATIONS
 - NEED LEGISLATIVE APPROVAL
 - ALL PROPOSALS RECOMMENDED FOR SOME LEVEL OF FUNDING IN DRAFT AWARDED 100% FUNDING
 - REGIONS RECEIVING AWARDS INCREASED FROM 20 TO 21
- START ISSUING COMMITMENT LETTERS THIS WEEK

Proposition 84 Round 2 Implementation Grants Awards



Funding Legend

- Funded IRWM Regions (100%)
- Funded Project Locations¹
- Non-Funded IRWM Regions²
- Application Contains Projects in Two Funding Areas
- Did not apply

Footnotes:

1. Project locations shown are approximate, and may have been adjusted to reduce overlap and to optimize visualization.
2. Cross-hatched symbol is shown where IRWM Regions significantly overlap (Gateway Region and Poso Creek).



DROUGHT STATE OF EMERGENCY PROCLAMATION ORDER #6

- DWR AND THE SWRCB WILL ACCELERATE FUNDING FOR:
 - WATER SUPPLY ENHANCEMENT PROJECTS THAT CAN BREAK GROUND THIS YEAR
 - WILL EXPLORE IF ANY EXISTING UNSPENT FUNDS CAN BE REPURPOSED TO ENABLE NEAR-TERM WATER CONSERVATION PROJECTS
- 17 OF 21 ROUND 2 IMPLEMENTATION GRANTS HAVE PROJECTS THAT HELP ADDRESS LONG TERM DROUGHT RESPONSE
 - EXPEDITE EXECUTION OF AGREEMENTS
 - EXECUTE IN 3-4 MONTHS VERSUS 6-12 MONTHS

FISCAL YEAR 2014-15 BUDGET

- PROPOSITION 84 IRWM FUNDING
 - APPROPRIATION REMAINING LOCAL ASSISTANCE FUNDS
 - \$472.5 MILLION
 - INCLUDES \$21.8 MILLION CONDITIONALLY AWARDED IN ROUND 2
 - ANTICIPATED NET AVAILABLE FOR ROUND 3 = \$450 MILLION
- CAP AND TRADE FUNDS – INCLUDES \$20 MILLION FOR GRANTS
 - WATER CONSERVATION PROJECTS THAT REDUCE GREENHOUSE GAS EMISSIONS

DROUGHT LEGISLATION

Bill:	Link:
AB80	http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_80_bill_20140224_amended_sen_v97.htm
SB104	http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_104_bill_20140224_amended_asm_v98.htm
AB79	http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_79_bill_20140224_amended_sen_v97.htm
SB103	http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_103_bill_20140224_amended_asm_v98.htm

PLAN REVIEW PROCESS

- JANUARY 10, 2014 ISSUED ADDENDUM TO GUIDELINES
- PLAN REVIEW PROCESS COMMENCED IMMEDIATELY
- 12 PLANS SUBMITTED AS OF FEBRUARY 26, 2014
- REVIEWS ONGOING
- 1ST SET OF REVIEWS TO BE RELEASED MID-MARCH

PLAN REVIEW PROCESS

- REVIEWED PLAN NEEDED FOR:
 - ROUND 3 ELIGIBILITY
 - ADOPTED AND CONSISTENT WITH 2012 GUIDELINES
 - PLAN SUBMITTAL DATE – 60 DAYS BEFORE ROUND 3 APPLICATION
 - REMEMBER THIS AT SCHEDULE SLIDE
 - COMPLIANCE WITH IMPLEMENTATION GRANT AGREEMENT
 - ADOPTED WITHIN 2 YEARS OF AGREEMENT EXECUTION
- PLEASE SUBMIT PLANS AS SOON AS POSSIBLE

IRWM PLAN ADOPTION

- NEED TO CONSIDER ADOPTION TIME LINES
 - PLANNING GRANT DELIVERABLE DUE DATE/AGREEMENT CLOSE OUT
 - IMPLEMENTATION AGREEMENT 2-YEAR DEADLINE
 - ROUND 3 APPLICATION DUE DATES
- DWR WILL WORK WITH GRANTEES ON THESE ISSUES
 - START WITH YOUR DWR RSR/GRANT MANAGER

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PROCESS IMPROVEMENTS

GENERAL PHILOSOPHY

- LIMIT CHANGES TO GUIDELINES
 - IN PARTICULAR PLAN STANDARDS
- NEED TO INCORPORATE “NEW” REQUIREMENTS
 - ENACTED/BECAME EFFECTIVE AFTER NOVEMBER 2012
- NEED TO DO ANY NECESSARY CLEANUP



INPUT SOURCES FOR MODIFICATIONS

- LEGISLATIVE MANDATES
 - INTERNAL ROUND 2 “POST MORTEM” WITH REVIEW TEAM
 - PUBLIC COMMENTS
 - ROUND 2 DRAFT FUNDING RECOMMENDATIONS
 - DRAFT PLAN REVIEW PROCESS
 - EARLY PROCESS IMPROVEMENT FEEDBACK
 - STRATEGIC PLAN WORKSHOP FEEDBACK
 - INDEPENDENT REVIEW
 - PROCESS IMPROVEMENT WORKSHOPS
- 

EXAMPLES OF INPUT

- GENERAL
 - LEVEL OF EFFORT TO PREPARE APPLICATIONS CAN BE HIGH, ESPECIALLY FOR DISADVANTAGED COMMUNITIES
- WORK PLAN
 - LACK OF SPECIFIC INSTRUCTION ON HOW TO ADEQUATELY DEMONSTRATE THAT THE PROJECT(S) WILL BE IMPLEMENTED

EXAMPLES OF INPUT

- SCORING
 - MORE CLEARLY EXPLAIN HOW APPLICATIONS ARE EVALUATED
 - EVALUATING PROPOSALS AS A WHOLE CAN UNDER/OVER VALUE INDIVIDUAL PROJECTS
 - NEED TO BETTER EVALUATE HOW PROJECTS MEET IRWM REGION NEEDS
 - NEED TO CONSIDER OTHER FACTORS, SUCH AS INNOVATION


GUIDELINES KNOWN CHANGES

“INCLUDE BUT NOT LIMITED TO”

- HUMAN RIGHT TO WATER
- CASGEM
 - IMPLEMENT “NEGATIVE FINDING” – BASINS NOT BEING MONITORED
- AGRICULTURAL WATER MANAGEMENT PLANS
- WATER CODE SECTIONS 525-529.7 (WATER METERING)
 - ENACTED 2004-2006; COMPLIANCE DATES FROM 2005-2025
- SWRCB NITRATE REPORT RECOMMENDATIONS
 - PREFERENCES/PRIORITIES FOR NITRATE “HIGH RISK AREAS”
- DELTA PLAN RECOMMENDATIONS




GUIDELINES CHANGES TO ANTICIPATE

- STATEWIDE PRIORITIES – CURRENTLY BASED ON 2009 WATER PLAN
 - ALIGN TO GOVERNOR'S WATER ACTION PLAN
 - REFLECT WATER PLAN 2013
 - INCORPORATE:
 - MEETING BASIC HUMAN RIGHT TO WATER
 - NITRATES IN DRINKING WATER
 - STRENGTHEN DROUGHT MITIGATION/WATER CONSERVATION
 - DROUGHT CONTINGENCY PLANS IMPLEMENTATION
- 



STATE WATER ACTION PLAN

[HTTP://RESOURCES.CA.GOV/CALIFORNIA_WATER_ACTION_PLAN/DOCS/FINAL_CALIFORNIA_WATER_ACTION_PLAN.PDF](http://resources.ca.gov/california_water_action_plan/docs/final_california_water_action_plan.pdf)

- MAKE CONSERVATION A CALIFORNIA WAY OF LIFE
 - INCREASE REGIONAL SELF-RELIANCE & INTEGRATED WATER MANAGEMENT
 - ACHIEVE THE CO-EQUAL GOALS FOR THE DELTA
 - PROTECT AND RESTORE IMPORTANT ECOSYSTEMS
 - MANAGE AND PREPARE FOR DRY PERIODS
 - EXPAND WATER STORAGE CAPACITY & IMPROVE GROUNDWATER MANAGEMENT
 - PROVIDE SAFE WATER FOR ALL COMMUNITIES
 - INCREASE FLOOD PROTECTION
 - INCREASE OPERATIONAL AND REGULATORY EFFICIENCY
 - IDENTIFY SUSTAINABLE AND INTEGRATED FINANCING OPPORTUNITIES
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PSP CHANGES TO ANTICIPATE

- POSSIBLE FUNDING TARGETS (THROUGH APPROPRIATION)
 - CRITICAL WATER SUPPLY/WATER QUALITY NEEDS OF DISADVANTAGED COMMUNITIES
 - PRIOR APPROPRIATIONS 10%
 - DROUGHT MITIGATION/WATER CONSERVATION
 - 2009 DROUGHT \$20 MILLION FOR 20X2020 PROJECTS
 - REDUCE DEPENDENCE ON DELTA FOR WATER SUPPLY
- IMPROVING TIES OF PROJECTS TO REGIONAL PRIORITIES

PSP CHANGES TO ANTICIPATE

- ADOPTED PLAN
 - NO GRANDFATHER CLAUSE
 - ADOPTED PRIOR TO SUBMITTAL, DRAFT RECOMMENDATION, AWARD?
- STREAMLINED APPLICATION & SHORTENED SOLICITATION PERIOD
 - FOCUS THE PSP TO “FORCE” SUBMITTAL OF NEEDED MATERIALS
 - AWARD FUNDS WITHIN ONE-YEAR OF APPROPRIATION
- PROGRAM PREFERENCE & STATEWIDE PRIORITIES
 - NARROW LIST TO FOCUS STATEWIDE PRIORITIES?
 - PRIORITIES WITHIN PRIORITIES (1ST AMONGST EQUALS)?

PSP TARGET AREAS

- MONITORING, ASSESSMENT, AND PERFORMANCE MEASURES
 - TARGET TO MATCH PHYSICAL BENEFITS CLAIMED?
- BENEFITS AND ECONOMIC ANALYSIS
 - MADE CHANGES GOING FROM ROUND 1 TO ROUND 2
 - FEEDBACK FROM ROUND 2
 - WAS BETTER
 - STILL ONEROUS
 - TIE INTO REGIONAL NEEDS/PRIORITIES
 - LEAST COSTLY – TECHNICALLY FEASIBLE ALTERNATIVE?

PSP TARGET AREAS

- WORK PLAN
 - FOCUS ON HOW THE PROJECTS WILL BE IMPLEMENTED?
 - LIMIT TO WHAT IS NEEDED TO GO INTO GRANT AGREEMENT?
- SCORING TABLE/PROPOSAL SUMMARIES
 - CONCERNS ABOUT CLARITY AND CONSISTENCY
 - CONCERNS ABOUT +/- IMPACTS ON SINGLE PROJECT ON ENTIRE PROPOSAL
 - NARRATIVE SUMMARIES TAKE TIME TO DEVELOP (AND ENSURE CONSISTENT, ACCURATE, FAIR, OBJECTIVE, ETC.)

EXAMPLE - SCORING TABLE CHANGES UNDER CONSIDERATION

- HOW TO ASSESS THE SUITE OF PROJECTS?
 - EVALUATE PROJECTS INDIVIDUALLY
 - COLLECTIVELY SCORED
 - AVERAGE SCORE FOR EACH CRITERION
 - EXAMPLE TO FOLLOW
- REMOVE WEIGHTING FACTORS?
- ELIMINATE NARRATIVE EVALUATION?
 - TABULAR ASSESSMENT OF EACH PROJECT IN PROPOSAL FOR EACH CRITERION

SCORING TABLE EXAMPLE – WORK PLAN

Questions Answer Yes or No	Points	Project # 1	Project # 2...	Project #n
Q.1 Will completion of the proposed tasks result in a completed project?	1	Yes	No	No
Q.2 Is each task described in sufficient detail so that it is clear how the work will be performed?	1	Yes	Yes	No
Q.3 Does the project status description detail the current stage of each project?	1	Yes	Yes	No
Q.N Are the appropriate deliverables proposed?	1	Yes	Yes	No
By Project Total		4	3	0
Proposal Total Average Round to Whole Number	$(4+3+0)/3 = 2.3$ = 2 points			



MAXIMUM GRANT AMOUNT

- PRESUMED FINAL SOLICITATION
 - HOW TO FOSTER COOPERATION?
 - LEVEL OF COMPETITION IN “COMPETITIVE” FUNDING AREAS?
- 

PROPOSITION 84 IRWM FUNDING AREA REMAINING BALANCES			
FUNDING AREA	ALLOCATION	PRIOR AWARDS + STATE COSTS	REMAINING BALANCE
North Coast	\$37,000,000	\$17,252,061	\$19,747,939
San Francisco Bay	\$138,000,000	\$64,516,143	\$73,483,857
Central Coast	\$52,000,000	\$32,251,934	\$19,748,066
Los Angeles-Ventura	\$215,000,000	\$118,659,211	\$96,340,789
Santa Ana	\$114,000,000	\$39,517,004	\$74,482,996
San Diego	\$91,000,000	\$34,487,049	\$56,512,951
Sacramento River	\$73,000,000	\$32,481,590	\$40,518,410
San Joaquin River	\$57,000,000	\$30,303,544	\$26,696,456
Tulare/Kern	\$60,000,000	\$43,782,803	\$16,217,197
Lahontan	\$27,000,000	\$16,721,949	\$10,278,051
Colorado River	\$36,000,000	\$19,300,000	\$16,700,000
Total	\$900,000,000	\$449,273,288	\$450,726,712

ROUND 3 SCHEDULE

- PROCESS IMPROVEMENT WORKSHOPS – 2/18-27
- COMMENTS “DUE” – 3/21/2014
- RELEASE DRAFT 2014 (ROUND 3) GUIDELINES & PSP – SUMMER 2014
 - AFTER APPROPRIATION – JULY 1, 2014
- FINAL GUIDELINES & PSP – FALL 2014 (SEPTEMBER 1, 2014)
 - PLAN REVIEW SUBMITTAL CUT OFF
- APPLICATIONS DUE – WINTER 2014/15 (NOVEMBER 1, 2014)
- AWARDS – BY JUNE 30, 2015 (APRIL 15, 2015)
- ACCOMPLISH BY CUTTING IN HALF:
 - DWR REVIEW PERIODS
 - DWR MANAGEMENT/EXECUTIVE REVIEW & APPROVAL

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DISCUSSION PERIOD

COMMENTS DUE MARCH 21, 2014

EMAIL TO: KEITH.WALLACE@WATER.CA.GOV